



LUCAS COUNTY OHIO  
REQUEST FOR PROPOSALS  
TRANSFER/PROCESSING OF RECYCLABLE MATERIALS

SOLID WASTE MANAGEMENT DISTRICT	09-053P
COUNTY AGENCY	BID NUMBER
SEPTEMBER 16, 2009 AT 2:00 PM (local time)	
DATE AND TIME OF BID OPENING	

BIDDER MUST COMPLETE THE FOLLOWING:

NAME OF COMPANY OFFICIAL \_\_\_\_\_

OFFICIAL'S SIGNATURE \_\_\_\_\_

NAME OF COMPANY \_\_\_\_\_

ADDRESS \_\_\_\_\_

CITY, STATE & ZIP \_\_\_\_\_

TELEPHONE NUMBER \_\_\_\_\_

FAX NUMBER \_\_\_\_\_

E-MAIL ADDRESS \_\_\_\_\_

**REQUEST FOR PROPOSALS**

**Lucas County Solid Waste Management District  
*Division of Lucas County Sanitary Engineer*  
Transfer/Processing recycling materials**

**ISSUED BY:**

**The Lucas County Solid Waste Management District  
*Division of Lucas County Sanitary Engineer*  
1011 Matzinger Road  
Toledo, OH 43612  
(419) 213-2230**

**PROPOSAL DEADLINE**

**Wednesday, September 16, 2009  
2:00 p.m. EST  
at  
1011 Matzinger Road  
Toledo, Ohio 43612**

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**REQUEST FOR PROPOSALS**  
**Lucas County Solid Waste Management District**  
**Transfer/Processing recycling materials**

**I. PURPOSE**

The Lucas County Solid Waste Management District (the District) is seeking the services of a Vendor with the necessary professional and technical experience, expertise and capacity to assist the District and the City of Toledo in transferring and processing recycling materials **at a site located in the City of Toledo**. The District's recycling facility at 1011 Matzinger Road, Toledo, Ohio may also be considered for these purposes; however, a second location in the short term is likely to be needed.

Interested Vendors are invited to submit a proposal that meets the requirements described in Section VIII Proposal Requirements and Section X Additional Information and Details.

This RFP covers processing for recyclables from both the District and the City. The Lucas County Solid Waste Management District is committed to providing cost-effective and environmentally safe solid waste management services for those who live and work in the District. As part of these services, the District provides recycling drop-offs at many locations in the District. That material is collected in two separate streams, commingled bottles and cans and commingled fiber. The City of Toledo is the largest municipality in the District and curbside recycling is required in Toledo for the District to be in compliance with the Solid Waste Management Plan. The City provides every other week curbside recycling to approximately 97,000 households in the City. The City is converting that collection program to single stream and will be distributing 96 gallon Toter carts to all households to enable automated collection by the City's municipal crews. This transition is expected to be completed by March 1, 2010.

**II. BACKGROUND**

The Ohio Solid Waste Disposal Act, effective June 24, 1988, mandated that all counties establish a Solid Waste Management District and a Solid Waste Policy Committee. Each Solid Waste Management Policy Committee is responsible for preparing, adopting and submitting to the Ohio EPA a solid waste management plan and then must update the plan periodically.

In response, the Board of Commissioners of Lucas County formed the Lucas County Solid Waste Management District, in accordance with Section 3734.52 of the *Ohio Revised Code*. The District oversees the District Solid Waste Plan and its implementation. The District's programs include an extensive recycling drop-off network, an outreach and education initiative in partnership with Keep Toledo/Lucas County Beautiful (KTLCB), a business technical assistance program in partnership with the University of Toledo, solid waste and recycling services in partnership with various private sector profit and not-for-profit vendors and facility operators, and an overall partnership with area municipalities including the City of Toledo in meeting the recycling access needs of the District.

The District's website link to programs/services is as follows:

<http://www.co.lucas.oh.us/index.aspx?nid=749>

Early in 2008, the District purchased the former Lake Erie Recycling facility at 1011 Matzinger Road with plans to base its operations there including administrative offices for District Staff as well as KTLCB (Keep Toledo/Lucas County Beautiful) Staff, its recycling drop off collection

vehicles (4 front load recycling packer trucks and support vehicles), and processing and/or transfer arrangements for the District and City of Toledo's recyclables. The District is seeking an experienced partner to work with the District to develop and operate the recycling, processing and/or transfer operations in the City of Toledo, at the District's site or a combination of both.

### **III. DISTRICT RESPONSIBILITIES**

Certain services shall be performed or furnished by the District and/or the City of Toledo. These services include:

1. The District will deliver all District collected recyclable material to the site(s) in the City of Toledo no later than October 1, 2009 (Hours of Operation: 4am-2pm, Sun-Sat). The District's total tonnage [Commingled fiber (approx. 77%) and Commingled bottles and cans (approx. 23%)] have grown steadily from less than 7,000 tons per year in 2002 to approximately 12,000 tons in 2008. District dual stream tonnage is projected to operate at a rate of 750 tons per month for Commingled fiber and 225 tons per month for Commingled bottles and cans.
2. The District and the City of Toledo will coordinate delivery of all of the City's curbside recyclables (both from the existing single stream pilot and dual stream – approx. 30 tons/day) to the site(s) in the City of Toledo no later than October 1, 2009 (Hours of Operation: 8am-5pm, Mon-Fri and Saturday on Holiday weeks). The City single stream pilot serves approximately 10,000 homes and is the first phase of city wide single stream recycling collection expansion that will be completed by March 1, 2010. Currently, non-pilot areas are also being collected as single stream due to frequent breakdown of the City's dual stream recycling trucks. Prior to the expansion the total single stream tonnage is collected at a run rate of 30 tons per day (600 tons per month).
3. After the expansion of the single stream program, the District and the City of Toledo will coordinate delivery of all of the City's higher volumes of curbside single stream recyclables to the site(s) in the City of Toledo with the expansion starting in November of 2009 and being completed by March 1, 2010. The expansion will include distribution of 96 gallon Toter carts to all the 97,000 households and servicing of those carts with new automated trucks operated by the City's municipal workforce. The carts will be equipped with RFID tags for tracking participation. The City is currently taking proposals from vendors capable of providing a rewards program (e.g. RecycleBank or equivalent). By March of 2010, the City's delivered tonnage is expected to operate at a run rate of 100 to 165 tons per day (2,000 to 3,300 tons per month) after city wide expansion with the high end possible if the rewards program is part of the roll-out. In the long term, 25,000 to 40,000 tons per year is anticipated as recycling incentive systems reach their highest performance.
4. The District will make available all existing information which may be pertinent to the work herein described - all of which Vendor may rely upon in performing services.
5. The District will cooperate with the Vendor and respond as needed in a timely manner.
6. The District will arrange for and hold within a reasonable time any necessary meetings, including providing meeting facilities and serving of required public and private notices.
7. The District will respond within a reasonable time to the Vendor's requests for written decisions or determinations, pertaining to the work, so as not to unreasonably delay the services of the Vendor.

**IV. PROJECT SCOPE AND TERM**

The desired services for providing transfer/processing of recycled materials to the Lucas County Solid Waste Management District and the City of Toledo shall include the following:

**RECYCLING PROCESSING OPERATIONS SUPPORT**

1. Effective no later than October 1, 2009, Vendor shall assume responsibility for operating the recycling handling activities at site(s) in Toledo and/or at 1011 Matzinger Road, giving priority to processing and/or transferring all District and Toledo recyclables delivered to the site(s).
2. Vendor shall propose a business arrangement that provides revenue share to the District and City on recyclable materials processed by the operation (See Section X).
3. Vendor shall make arrangements for transferring single stream recyclables to a pre-arranged single stream MRF or process said recyclables at site(s) in the City of Toledo no later than October 1, 2009. Estimated volumes noted in Section III.2. above.
4. Vendor shall process the District's commingled fiber (approx. 750 tons/month) at 1011 Matzinger Road as soon as practical, preferably beginning no later than October 1, 2009 to maximize revenue to the District. Vendor shall make provisions and considerations such that the four (4) District Solid Waste Trucks will be parked inside the back building at Matzinger Road effective October 26, 2009, or vendor shall propose alternate indoor storage arrangements at the Matzinger Rd. Site. District is willing to consider provisions to relocate the existing sort line from the back building to a second site in the City of Toledo as desired in the vision of the Vendor.
5. Vendor shall transfer/process the City's recyclables (approx. 600 tons/month at start of contract) and District's commingled bottles and cans (approx. 225 tons/month) no later than October 1, 2009.
6. Vendor shall assume responsibility for arranging necessary financing required for facility capital improvements, market arrangements, and operating arrangements to receive, transfer and process the City and District's recyclables with the City of Toledo's expanded single stream curbside projected to roll out in November of 2009 and be completed by March 1, 2010, serving approximately 97,000 households with curbside single stream recycling
7. Vendor shall provide receiving, transfer, processing and marketing services for the following types of incoming material (at a minimum) in both single stream and dual stream loads, including all materials currently accepted by Lucas County:

<http://www.co.lucas.oh.us/documents/Solid%20Waste%20Management/Drop%20Off%202009.PDF>

<ul style="list-style-type: none"><li>• Newspapers including all flyers</li><li>• Cardboard</li><li>• Pizza boxes</li><li>• Magazines and catalogs</li><li>• Residential mail/junk mail</li><li>• Office paper</li><li>• Kraft paper bags</li><li>• Box board (cereal boxes, beverage cartons)</li><li>• Phone books</li><li>• Gift wrapping paper</li><li>• Shredded office paper</li></ul>	<ul style="list-style-type: none"><li>• All closed mouth plastic containers</li><li>• #3 through #7 household plastic</li><li>• Aluminum cans</li><li>• Other aluminum</li><li>• Aluminum foil</li><li>• Steel cans</li><li>• Tin cans</li><li>• Other household scrap metal</li><li>• Green glass</li><li>• Amber glass</li><li>• Clear glass</li></ul>
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- Acceptable contamination levels (out-throws) shall be no less than 6% (trash and other prohibited materials).
- Favorable consideration will be given to proposals that include the ability to accept any or all of the following materials as optional as part of the

commingled recyclables streams – clean bagged plastic film, milk cartons and drink boxes, other glass and ceramics.

- Proposers are encouraged to provide for the recycling of other additional materials as optional alternatives for the City to consider.

**PROPOSED CONTRACT TERM**

Contract Term of five (5) years is proposed with an effective date no later than October 1, 2009. Longer contracting terms may be considered with details regarding vendor financed capital improvements.

**V. VENDOR EXAMINATION OF THE REQUEST FOR PROPOSAL**

The prospective Vendor shall carefully examine the entire Request for Proposal and any addenda thereof; all related materials and data referenced in the Request for Proposal, and shall become fully aware of the nature of the request and the conditions to be encountered in performing the requested services.

If Vendor discovers any ambiguity, conflict, discrepancy, omission or other error in this Request for Proposal, they shall immediately notify the District of such error in writing and request clarification or modification of the document. Modifications shall be made by addenda. Any addendums issued as a result of a request for clarification will be distributed only to those who have requested a copy of this request for proposals

**The last day that the District will receive written question and clarification requests shall be Thursday, September 10, 2009 at 12:00 Noon EST in order to properly notify prospective vendors in advance of the Proposal deadline.**

Written question and clarification requests shall be sent to the attention of:

Jim Shaw, P.E., Sanitary Engineer  
Lucas County Solid Waste Management District  
1011 Matzinger Road  
Toledo, OH 43612  
jshaw@co.lucas.oh.us  
(419) 865-1951 fax

**VI. EVALUATION OF RESPONSES**

The District/City selection team will evaluate responses based upon the qualifications of the vendor and the individuals involved to perform the required services, the specific proposal submitted, and the cost allocation (expense/revenue) of the services.

The Solid Waste Management District reserves the right to reject any and all proposals, and to negotiate with more than one Vendor.

The review process will be conducted in two (2) stages. Stage 1 concerns itself with the contents of an envelope marked "Statement of Qualifications and Technical Proposal". Stage 2 concerns itself with the contents of an envelope marked "Pricing Proposal."

Stage 1 of the evaluation process will review the qualifications of the submitting Vendor(s) and their capacity to provide the requested services. Stage 1 will also evaluate the prospective Vendor(s) understanding of the project and specific mandatory conditions. The appropriate proposal materials shall be placed by the Vendor in a Vendor-provided envelope marked

*Lucas County Solid Waste Management District  
Request for Proposal*

“Statement of Qualifications and Technical Proposal”. Those materials will be used to in the evaluation process to score the Vendor’s submittal as follows:

Qualifications	Maximum Points	20
Experience Doing Similar Work	Maximum Points	10
References for Similar Work	Maximum Points	10
Understanding of Project/District	Maximum Points	30

Proposals that successfully complete Stage 1 by scoring 55 or more points of the possible 70 will be deemed “Qualified” and their proposal will proceed to Stage 2.

Stage 2 of the evaluation process will only be executed for those proposals that the District deems “Qualified.”

Partial submissions or late submissions shall be determined to be non-responsive and shall be designated as “Non-Qualified.”

Stage 2 of the evaluation process will review the “Qualified” Vendor(s) pricing document and required tax form. A project pricing document and the Personal Property Tax Statement form shall be placed in a Vendor-provided envelope marked “Pricing Proposal.”

As part of this Stage 2 evaluation process, a “Qualified” prospective Vendor(s) may be required, at its expense, to orally present its proposal at the time and place specified by the District.

**Stage 1 Review**

Stage 1 of the evaluation process will review the qualifications and technical proposals of submitting vendors. Specific evaluation criteria in this stage used to award points as provided for above will include:

- \* Timeliness of submission.
- \* Required number of copies (or electronic media) and all attachments.
- \* Easily reproduced, recycled-content quality paper, single spaced, clearly formatted with type face that is easily read or electronic media (w/ .pdf files).
- \* Determination that the submission meets minimum qualifications outlined in the Request for Proposals.
- \* Submission clearly defines the company’s specific qualifications. The District reserves the right to determine which submissions are most qualified. At any time during the review, and at any level of the review, the District may request additional information from the Vendor(s). Such information requests and Vendor(s)’ responses must always be in writing and will be considered to be part of the Vendor’s proposal. Information may be requested from sources other than the written submission to evaluate the Vendor(s).
- \* Ability to meet the timelines and requirements requested for service.
- \* Overall responsiveness, viability and completeness of the submission as well as the likelihood that, in the District’s opinion and at the District’s discretion, the submission best meets or exceeds the District’s specifications.
- \* Title page and signed transmittal letter with all key contact information has been included.
- \* An explanation of the vendor’s management, operating and financing capacity to carry out the project has been included.

- \* Narrative that relates the vendor's understanding of the project, its technical approach to the project, its experience with the District, its programs and experience, if any, or the programs of communities within the District or related experience.
- \* Technical Approach and Activity Schedule has been included.
- \* Resumes and Reference Projects with Contact Information have been included.

**Submissions which do not meet all of the above first stage review submission requirements will be deemed "Non-Qualified" and will not be reviewed for Stage 2.**

### **Stage 2 Review**

Stage 2 of the evaluation process will review the pricing proposals of submitting vendors. Specific evaluation criteria in this stage used to award points will include, but will not be limited to:

- \* Pricing Document has been completed and included.
- \* Personal Property Tax Statement has been completed and included.
- \* All other required forms have been completed and included
- \* Net benefit (net cost and/or revenue) to the District and City for the best services

Those materials will be used in the evaluation process to score the Vendor's price submittal as follows:

Pricing Document	Maximum Points	30
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This request for proposals delineates the specific documents that will need to be placed in each of the required envelopes - "Statement of Qualifications and Technical Proposal" and "Pricing Proposal."

The Board of Commissioners shall make the award by resolution based on the Maximum Points earned as described above. It should be noted that this award shall be for the provision of professional and technical services and, therefore, need not be the prospective Vendor(s) submitting the highest/lowest cost proposal. The City and Board of Commissioners may choose to sign separate contracts with the selected vendor or work through a single contract, depending on the pricing submitted in the Pricing Proposal (see Form 9, Pricing Proposal Forms).

### **VII. COMPENSATION (Expenses/Revenue)**

The selected Vendor shall enter into an Agreement with the District to provide the services described in this Request for Proposals. Expenses for transfer/processing services shall be provided at a not-to-exceed cost per ton basis to the District. All vendor expenses shall be included in the not-to-exceed cost per ton amount. Details of processing costs shall be presented with a method for providing rebates and/or revenue share to the District and City based on market pricing applied to all tons delivered by the District and City. It is desired that net revenues to the District and City be maximized in hopes of identifying floor, trigger and/or ceiling pricing related to Official Board Markets (Chicago) or better. With single stream volume increasing substantially in 2010, it is believed that an extended term agreement (beyond five yrs) will compel Vendors to provide the most cost effective solution with the Vendor's investment in a single stream processing facility in the City of Toledo.

Scenarios of costs and/or net revenues (expenses/revenues) shall be presented in the format provided to reflect market conditions (incl. data from June, 2008 through June, 2009) based on past and projected volumes. See Section X.

### VIII. **SUBMITTAL OF PROPOSALS**

In order to be given consideration, two separately sealed envelopes with inclusion of content on 1) electronic media (.pdf files on CD, DVD or flash drive) or 2) three (3) copies, printed on recycled-content paper, of the prospective Vendor's proposal must be received at the following location on or before the indicated time and date:

**2:00 p.m. EST**  
**Wednesday, September 16, 2009**

**Attn: Jim Shaw, P.E.**  
**Lucas County Solid Waste Management District**  
**1011 Matzinger Road**  
**Toledo, OH 43612**

Late proposals shall be returned to the prospective Vendor(s) unopened.

#### **Format and Content**

The proposals shall be written in a clear and concise manner and shall be formatted as noted in these subsections and address those items in Section X. The subsections shall be divided between two separately sealed envelopes. One envelope shall be marked "Statement of Qualifications and Technical Proposal" and the second envelope shall be marked "Pricing Proposal." The District must receive one (1) original "Statement of Qualifications and Technical Proposal" envelope and two (2) additional copies (or electronic media) in the sealed envelope. The District must receive one (1) original "Pricing Proposal" envelope and two (2) additional copies (or electronic media) in the sealed envelope. The proposal and its copies shall be organized into the following subsections and placed in the corresponding sealed envelopes:

#### Qualifications/Technical Proposal Envelope

1. Title page
2. Vendor Qualifications and Capacity
3. District Experience Summary
4. Project Understanding, Approach, Technical Proposal and activity schedules
5. Resumes
6. References

#### Pricing Proposal Envelope

1. Pricing document
2. Personal Property Tax Statement
3. District Required Forms

The remainder of this subsection defines the contents requirements for each of the aforementioned components.

### **STATEMENT OF QUALIFICATIONS/TECHNICAL PROPOSAL ENVELOPE**

#### **1. Title Page – Quals and Technical Proposal Envelope**

The proposal's Title Page shall contain "Lucas County Solid Waste Management District, Transfer/Processing Recycling Materials" and the name, address, and telephone number of the Vendor(s) submitting the proposal.

**2. Vendor Qualifications and Capacity – Quals/Technical Proposal Envelope**

In this section, the prospective Vendor should include that information it deems appropriate to convince the District that it has the qualifications and capacity to provide the required services and that the required services will be provided in a timely and cost effective manner.

In addition, this section should provide the following information:

- A. Each proposal must specify the name of the vendor submitting the proposal, Federal Tax ID number, street address, mailing address, telephone number, and the key individual who will be responsible for the project, if awarded. If the vendor has its home office in another state, and a regional office in or for Ohio, the proposal must identify street address, mailing address, and telephone number of each. A statement that the Vendor(s) and all members of its team are licensed to do business in the State of Ohio.
- B. A statement as to the Vendor's background, management structure, and management systems, particularly as it relates to solid waste and recycling and comprehensive solid waste planning and the implementation of integrated solid waste systems.
- C. The Vendor's and any sub-Vendor(s)' experience on projects of similar nature and size.
- D. The names of all persons who will be assigned to the project by the Vendor and the members of its team, including any sub-Vendors, the role each of these persons will play in the project, and the degree to which these persons will be committed to the project (substitution of proposed and approved team members will not be permitted without the District's approval).
- E. Evidence that the prospective Vendor(s) can obtain all of the insurance coverage and a statement setting forth the names and addresses of the persons and/or entities that will provide such insurance coverage. The following are the insurance requirements for the project and are to be provided by the selected Vendor as a non-reimbursable cost:
  - 1. *Professional Liability Insurance*, limits of such insurance to be no less than one million dollars (\$1,000,000) per occurrence;
  - 2. *General Liability Insurance*, limits of such insurance to be no less than one million dollars (\$1,000,000) covering bodily injury, personal injury, and property damage, and written on an occurrence basis;
  - 3. *Workers Compensation Insurance*, as provided under the laws of the State of Ohio; and
  - 4. *Automobile Insurance*, covering all owned or leased vehicles with a combined single limit of no less than one million dollars (\$1,000,000) per accident.
- F. A list of the prospective Vendor's current engagements and those of the members of its team, including any sub-Vendor(s) that the Vendor believes will enhance and/or negatively impact the Vendor's ability to perform the work. For each engagement, the following shall be provided:
  - 1. The engagement's time schedule; and

2. The nature of the work and its potential enhancement and/or negative impact on the District's work
3. The names of the persons assigned to these engagements that are also being proposed for the project.

**3. Direct Experience Summary – Quals/Technical Proposal Envelope**

In this section, the prospective Vendor should include that information it deems appropriate to convince the District that it has sufficient direct experience, either with the District and its programs or with programs operated within the District by communities and/or other service providers such that the Vendor understands the specific program management requirements, organizational challenges and working methods used by the District, its communities and its operating partners in order to meet the District's program objectives during the period of service. The response to this section shall include:

- A: A list of the Vendor's and sub-Vendor(s)' solid waste and recycling related engagements in the Lucas County and the surrounding region for a period up to the past five years. For each engagement, the following information shall be provided:
- 1: Name of client and contact information (phone/email..);
  - 2: Nature of work performed and its relevance to the District Work; and
  - 3: Date of project completion.

**4. Project Understanding, Approach, Technical Proposal and Activity Schedule – Quals/Technical Proposal Envelope**

In this section, the prospective Vendor should indicate its understanding of the project goals, of the environment in which the project is to be performed, and of the role of the Vendor in the project in relation to other parties. The prospective Vendor should also include in this section the manner in which it proposes approaching the project and the provision of the required services. Finally, the Vendor shall include a detailed Technical Proposal and **Activity Schedule**, which includes, but is not limited to, each phase of the project. This Technical Proposal and Activity Schedule should include detailed descriptions of each portion of the work to be completed, the time table for accomplishing elements of each of the required tasks, including task completion dates, project milestones, daily and ongoing operating procedures, and other information which the Vendor regards as necessary or appropriate.

**5. Resumes – Quals/Technical Proposal Envelope**

This section of the prospective Vendor(s) proposal should contain the resumes of all key members of the proposed Vendor's project team, including sub-Vendor(s). A resume should be included for each person listed in the "Capacity" section of the proposal.

**6. References – Quals/Technical Proposal Envelope**

The prospective Vendor should include a minimum of three references for which the proposed key personnel have provided services similar to those required on the project. For each reference, the following information shall be provided:

- A. Vendor's name and mailing address:
- B. Contact person's name, title, telephone number and email address;

- C. Dates on which the services were performed;
- D. Description of the services provided; and
- E. Proposed key personnel who were involved in the provision of services.

### **PRICING PROPOSAL ENVELOPE**

#### **1. Pricing Document - Pricing Proposal Envelope**

A "Pricing Document" shall be submitted by the prospective Vendor and included in the prospective Vendor's proposal in the "Pricing Proposal Envelope."

The prospective Vendor shall include the following in its Pricing Document (see Section X and Form 9, Vendor's Pricing Proposal Forms):

- A. Financial arrangements for covering operating costs for Vendor services (ie processing fees expressed in \$/ton) that includes all necessary expenses for use of the existing facilities and equipment as purchased by the District at 1011 Matzinger Road;
- B. Financial arrangements for sharing revenue with the District (& City) from the sale of Recyclable Materials [ie rebates to District (& City) for recycling material expressed in \$/ton or percentages of \$/ton];
- C. Specific business methods for determining the revenues and/or market values from the sale of Recyclable Materials and verification of those revenues and/or market values;
- D. Any annual escalation factors and/or other annual events that affect these financial arrangements as well as options for floor, trigger and/or ceiling pricing;
- E. Any other fees, expenses and/or revenue streams that the District should be prepared to accommodate in the business arrangements.
- F. Factors being considered to employ local residents at local site(s) to enhance the City income tax base, hence economic development.
- G. Completed Required Forms including Form 9, Vendor's Pricing Proposal Forms.
- H. Sign the document. Proposals with unsigned pricing documents shall not be considered.

#### **2. Delinquent Personal Property Tax Statement - Pricing Proposal Envelope**

In accordance with Section 5719.042 of the Ohio Revised Code, prospective Vendor(s) and any sub-Vendor(s) shall certify that the company they represent is not delinquent in the payment of personal property taxes to the State of Ohio or any subdivision thereof. Each vendor shall complete a Personal Property Tax Statement Form (as attached) and include it in the "Pricing Proposal Envelope."

#### **3. Required Forms – Pricing Proposal Envelope**

All forms listed below shall be completed (attached):

- Form 1 Non-Discrimination and Equal Employment Opportunity Affidavit
- Form 2 Non-Collusion Affidavit

- Form 3 No Findings for Recovery Affidavit
- Form 4 Compliance Affidavit for Businesses – Child Support
- Form 5 Best Bid Criteria Form
- Form 6 Lucas County Compliance Affidavit – Campaign Contributions
- Form 7 Lucas County Contract Transparency Disclosure – Contributions
- Form 8 Ohio Department of Public Safety – Homeland Security
- Form 9 Vendor's Pricing Proposal Forms

**IX. GENERAL TERMS AND CONDITIONS**

The following terms and conditions shall apply to all proposals submitted in response to this request for Proposal:

1. All proposals submitted shall be in effect for one hundred and twenty days (120) from the proposal submission date.
2. Neither the District nor its agents shall assume liability for any costs incurred by the prospective Vendor(s) in the preparation or evaluation of its proposal.
3. The District reserves the right to consider any and all elements entering into the questions of determining the responsibility of the prospective Vendor(s) and its capacity to provide the required services.
4. The District reserves the right to reject any and all of the submitted proposals.
5. All amounts shall be in exact dollars without reliance on estimates or contingencies.
6. Joint ventures are permissible. However, one Vendor must be clearly defined as the party having responsibility and the remaining Vendors as having secondary responsibility.
7. Corrections of errors in a proposal after the proposal submission due date shall not be permitted except for mathematical errors which are clearly evident to the District or its agents. Such corrections shall be accomplished within two business days of the proposal submission due date.
8. The District/Vendor expense/revenue payments will occur monthly.

**X. ADDITIONAL INFORMATION AND DETAILS**

The following details should be taken into consideration when preparing proposals

1. The District is the owner of the facility at 1011 Matzinger Road, Toledo, Ohio.
2. The selected vendor may utilize a portion of the facility at 1011 Matzinger Road, with all costs borne by the vendor (including, but not limited to utilities, labor, supplies, materials, and all equipment and facility repairs and replacements as needed during the term of the agreement). Vendors should become familiar with the existing equipment and consider its potential use during the contract period.
3. The office portion (front end of the building) of the site shall be reserved for District related functions.

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4. Vendors shall account for four (4) District owned solid waste trucks being housed in the back building each night or in an alternate enclosed covered location on the site, to be supplied by the vendor. Normal operations have three of the four trucks on the road collecting dual stream from drop off sites each day (Sun-Sat hours: 4am-2pm).
5. Vendors may consider another site in the City of Toledo for receiving materials (Toledo single stream and District commingled bottles and cans) short term and long term, however, Vendors shall indicate plans to receive and process District commingle fiber at 1011 Matzinger Road and market the same with revenue returned to the District.
6. The selected vendor will be responsible for all costs associated with the weighing, receiving, transferring, processing and marketing of materials. Toledo materials will be delivered during the week, Monday-Friday (and Saturday on City Holiday weeks) and District materials will be delivered seven days per week with hours of operations from 4am–2pm.
7. Materials from the City of Toledo and the District shall be accounted for separately and distinguished between single stream & dual stream (commingled fiber vs. commingled bottles and cans). Expenses and revenues for each shall also be identified with the intent of allocating expenses/revenues to each entity. Reporting will be provided monthly and include vendor entry of tonnage data on a District approved web based information system.
8. The intent of the contract is to provide an economic benefit to the District and City to offset collection costs and adhere to the Solid Waste Management Plan in a cost effective manner for the citizens of Lucas County.
9. The selected vendor will receive payments from mills and markets for sale of recyclable materials and be responsible for all costs to operate and transfer/process materials delivered to site(s) from Toledo and the District.
10. Vendors shall note how fees (& revenues) are determined and the basis and clarify if it comes from revenue from recyclables and if not, from what source.
11. Vendors shall indicate how revenue/expenses are verified and how the City and District will be ensured that they are maximizing its revenue. Include detailed information on what is viewed as risks for the Vendor and District as the Vendor is expected to secure all necessary financing for any improvements to accommodate these services. Indicate proposed floor, trigger, ceiling and/or formula pricing with examples based on projected volumes and relationship to specific stock pricing through OBM-Chicago yellow sheet.
12. Vendors shall present a business plan that quantifies all operating costs and revenue split between the Vendor and the District & the City of Toledo. The premise is that the District and City of Toledo would participate in providing materials to the site(s); however, the revenues/expenses will be identified separately.
13. Vendors shall provide a clear description of their vision for the short term (6-9 months) and long term (up to 5 years) to include time to start up and receive as well as ability to ramp up with increased recyclable materials collections. Provide a schedule with sufficient detail to the best of your knowledge for handling Toledo single stream, District drop off commingled fiber & District commingled bottles and cans.

*Lucas County Solid Waste Management District  
Request for Proposal*

14. This request for proposals is related to the recyclable materials collected by the District and City of Toledo. Should there be a desire to use a portion of 1011 Matzinger Road for hosting recyclable collections beyond District and City materials, please indicate vision, volume and materials desired and considerations to the District for use of site. Should a second site in the City of Toledo be used for these purposes, all costs will be borne by the selected vendor and the volume will not be detrimental to the collection of materials in this contract.

**Delinquent Personal Property Tax Statement - Pricing Proposal Envelope**

**.....FORM ATTACHED.....**

Lucas County Solid Waste Management District  
Request for Proposal

DELINQUENT PERSONAL PROPERTY TAX STATEMENT

(O.R.C. Section 5719.042)

THIS FORM MUST BE COMPLETED IN ITS ENTIRETY AND NOTARIZED

I \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
(NAME) (TITLE) (NAME OF COMPANY)  
affirm that at the time that I submitted the bid for \_\_\_\_\_  
(BID TITLE)  
to the Board of Lucas County Commissioners on \_\_\_\_\_ that  
(DATE)  
\_\_\_\_\_ was / was not charged with delinquent  
(NAME OF COMPANY) (CIRCLE ONE)  
Personal Property Taxes by the Lucas County Auditor.

(If Personal Property Taxes are delinquent, complete the following section)

The amount of delinquent Personal Property Taxes due Lucas County is  
\_\_\_\_\_ and unpaid penalties and interest are \_\_\_\_\_.  
(AMOUNT) (AMOUNT)

\_\_\_\_\_  
(SIGNATURE)  
\_\_\_\_\_  
(COMPANY)  
\_\_\_\_\_  
(DATE)

Sworn to and subscribed before me this \_\_\_\_\_ day of, \_\_\_\_\_ 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
(NOTARY)

My Commission Expires:

\_\_\_\_\_  
(Date)

**Required Forms – Pricing Proposal**

**.....FORMS ATTACHED.....**

- Form 1 Non-Discrimination and Equal Employment Opportunity Affidavit
- Form 2 Non-Collusion Affidavit
- Form 3 No Findings for Recovery Affidavit
- Form 4 Compliance Affidavit for Businesses – Child Support
- Form 5 Best Bid Criteria Form
- Form 6 Lucas County Compliance Affidavit – Campaign Contributions
- Form 7 Lucas County Contract Transparency Disclosure – Contributions
- Form 8 Ohio Department of Public Safety – Homeland Security
- Form 9 Vendor's Pricing Proposal Forms

Lucas County Solid Waste Management District  
Request for Proposal

**Form 1**

**NON-DISCRIMINATION AND EQUAL EMPLOYMENT OPPORTUNITY AFFIDAVIT**

STATE OF \_\_\_\_\_

SS

COUNTY OF \_\_\_\_\_

\_\_\_\_\_ being first duly sworn, deposes and says that  
(Name)

he/she is \_\_\_\_\_ of \_\_\_\_\_ the party  
(Title) (Company)

that made the foregoing proposal; that such party as bidder does not and shall not discriminate against any employee or applicant for employment because of race, religion, color, sex or national origin. If awarded the bid and contract under this proposal, said party shall take affirmative action to insure that applicants are employed and that employees are treated, during employment, without regard to their race, religion, color, sex or national origin. If successful as the lowest and best bidder under the foregoing proposal this party shall post non-discrimination notices in conspicuous places available to employees and applicants for employment setting forth the provision of this affidavit.

Furthermore, said party agrees to abide by the assurances found in Section 153.59 of the Ohio Revised Code in the Contract Provisions with the Owner if selected as the successful bidder by the owner.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Affiant)

\_\_\_\_\_  
(Company/Corporations)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City/State/Zip Code)

Sworn to and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_-\_\_.

(Seal)

\_\_\_\_\_  
(Notary)

My Commission Expires:

\_\_\_\_\_  
(Date)

Lucas County Solid Waste Management District  
Request for Proposal

**Form 2**  
**NON-COLLUSION AFFIDAVIT**

STATE OF OHIO,

COUNTY OF LUCAS, SS:

\_\_\_\_\_ being first duly SWORN, deposes and says that he is the \_\_\_\_\_ or authorized representative of \_\_\_\_\_ or is the party submitting this bid; that such bid is genuine and not collusive or sham; that said bidder has not colluded, conspired, connived, or agreed, directly or indirectly, with any other bidder or person, to submit a sham bid, or refrain from bidding; has not in any manner, directly or indirectly sought by agreement or collusion, or communication or conference, with any person, to fix the bid price of affiant or any other bidder, to fix any overhead, profit or cost element of said bid price, or of that of any other bidder; to secure any advantage against the County of Lucas or any person or persons interested in the proposed contract; that all statements contained in said proposal of bid are true and that, such bidder has not, directly or indirectly submitted this bid, or the contents thereof, or divulged information or data relative thereto to any other potential bidder. Further, Affiant affirms that no county employee has any financial interest in this company or the bid being submitted.

\_\_\_\_\_  
(Affiant Signature)

\_\_\_\_\_  
(Affiant Title)

SWORN to before me and subscribed in my presence  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(Date) (Month) (Year)

\_\_\_\_\_  
(Notary Public)

(SEAL)

My Commission Expires

\_\_\_\_\_  
(Date)

Lucas County Solid Waste Management District  
Request for Proposal

Form 3

NO FINDINGS FOR RECOVERY AFFIDAVIT

THIS FORM MUST BE COMPLETED IN ITS ENTIRETY AND NOTARIZED

I \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
(NAME) (TITLE) (NAME OF COMPANY)

affirm that at the time that I submitted the bid for \_\_\_\_\_  
(BID TITLE)

to the Board of Lucas County Commissioners on \_\_\_\_\_ that  
(DATE)

\_\_\_\_\_ has / has no unresolved  
(NAME OF COMPANY) (CIRCLE ONE)  
finding for recovery from the State Auditor per Ohio Revised Code  
Section 9.24.

(If there is unresolved finding for recovery from the State Auditor , complete the following section)

The amount of unresolved finding for recovery due the State Auditor is  
\_\_\_\_\_ and unpaid penalties and interest are \_\_\_\_\_.  
(AMOUNT) (AMOUNT)

\_\_\_\_\_  
(SIGNATURE)

\_\_\_\_\_  
(COMPANY)

\_\_\_\_\_  
(DATE)

Sworn to and subscribed before me this \_\_\_\_\_ day of, \_\_\_\_\_ 20\_\_.

(SEAL)

\_\_\_\_\_  
(NOTARY)

My Commission Expires:

\_\_\_\_\_

Lucas County Solid Waste Management District  
Request for Proposal

**Form 4**  
**COMPLIANCE AFFIDAVIT FOR BUSINESSES**

THIS FORM MUST BE COMPLETED IN ITS ENTIRETY BY THE BIDDER AND NOTARIZED

STATE OF OHIO }  
                          } **SS:**  
COUNTY OF LUCAS }

\_\_\_\_\_, being first duly sworn, deposes and says that  
(Authorized Officer)

he/she is \_\_\_\_\_ of \_\_\_\_\_  
(Title) (Company Name)

the party making the foregoing proposal or bid; that according to his/her knowledge, based upon company records the following individuals have a twenty-five (25%) percent or greater vested interest in \_\_\_\_\_.  
(Company Name)

Name	Child Support Case/Order No:	Social Security Number:
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

\_\_\_\_\_  
(check if applicable) There are no individuals with twenty-five percent (25%) or greater vested interest in \_\_\_\_\_.  
(Company Name)

\_\_\_\_\_  
(signature)

Affiant: \_\_\_\_\_

Sworn to and subscribed in my presence this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
(Notary Public)

Lucas County Solid Waste Management District  
Request for Proposal

**Form 5**  
**BEST BID CRITERIA FORM**

This form must be completed in its entirety, and submitted with the Bid and all other documents required at the time of the Bid or response to request for proposal.

**Name of Project (as identified in the "Request for Proposals"):**

\_\_\_\_\_  
**Submitted by:** \_\_\_\_\_  
(Name of Vendor)  
\_\_\_\_\_  
(Address)  
\_\_\_\_\_

1. Please explain the experience Bidder has on projects of the nature for which Bids were solicited.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
2. Please detail the continuity of the Bidder's workforce.  
\_\_\_\_\_  
\_\_\_\_\_
3. For construction projects, please describe the Bidder's participation in trade-relevant Department of Labor or State of Ohio approved apprenticeship programs, if such apprenticeship programs are available to the Bidder.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
4. Please describe the Bidder's familiarity with this specific project.  
Has the Bidder reviewed the specifications (and if applicable, the drawings) for the project? Yes No  
Has the Bidder visited the actual site of the project? Yes No  
Has the Bidder reviewed all other applicable Contract Documents? Yes No
5. Does the Bidder provide any of the following for its employees?  
OSHA-Compliant Safety Plan Yes No  
EPA-Compliant Plans (if applicable) Yes No  
(For asbestos & lead abatement)
- 5a. Has the Bidder been cited for any OSHA violations in the preceding six (6)

*Lucas County Solid Waste Management District  
Request for Proposal*

months?

(If yes, please describe - add pages as needed)

Yes No

Type of Violation (de minimis, other than serious, serious, or willful)	Nature of Violation

6. How many years has the Bidder been in the construction, professional or personal service business or the number of years in the commodities supply business? \_\_\_\_\_

7. For all construction projects completed by the Bidder in the preceding twenty-four (24) months, please complete the information in the table below (add additional pages if needed):

Project Identification	Original Contract Price	Actual Final Cost

7a. For all projects listed in #7, did the Bidder

Comply with all completion deadlines?

Yes No

Provide timely response to "punch list" items and perform site clean-up in a timely manner?

Yes No





**LUCAS COUNTY AFFIDAVIT IN COMPLIANCE  
WITH O.R.C. SECTION 3517.13**

Recent changes in Ohio law require Counties to obtain an additional affidavit from certain vendors regarding campaign contributions. With this affidavit you are simply affirming that you or your organization have not made campaign contributions to the Board of County Commissioners in an amount that exceeds the statutory maximum for organizations or individuals contracting with the County.

Please read the affidavit starting on page 2 for more specific details.

Additionally in order to ensure compliance with the law you must provide information regarding your business organization.

Is your organization a:

- Publicly-traded for-profit corporation
- Privately-held for-profit corporation
- Not-for-profit corporation
- Partnership
- Sole proprietorship

Please list any members of your organization with a 20% or greater ownership interest:

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---

---

Please list any political action committees associated with your organization:

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---

---

*Lucas County Solid Waste Management District  
Request for Proposal*

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_, ss:

Personally appeared before me the undersigned, as an individual or as a representative of

\_\_\_\_\_ for a contract for \_\_\_\_\_  
(Name of Entity) (Type of Product or Service)

to be let by the Board of Commissioners, Lucas County, Ohio, who, being duly cautioned and sworn, makes the following statement with respect to prohibited activities constituting a conflict of interest or other violations under Ohio Revised Code Section 3517.13 (campaign contributions and reporting) and further states that the undersigned has the authority to make the following representation on behalf of himself or herself or of the business entity:

1. On behalf of the individual, partnership, other unincorporated business association, professional association organized under Chapter 1785 O.R.C. or estate or trust that all of the following persons, where applicable, are in compliance with 3517.13 (I)(1)<sup>1</sup>:
  - a. the individual;
  - b. each partner or owner of the partnership or other unincorporated business;
  - c. each shareholder of the association;
  - d. each administrator of the estate;
  - e. each executor of the estate;
  - f. each trustee of the trust;
  - g. each spouse of any person identified in (a) through (f) of this section;
  - h. each child seven years of age to seventeen years of age of any person identified in (a) through (f) of this section;
  - i. any combination of persons identified in (a) through (f) of this section.

<sup>1</sup> O.R.C. § 3517.13 (I) (1) (a) provides: no agency or department of this state or any political subdivision shall award any contract for the purchase of goods costing more than ten thousand dollars or services costing more than ten thousand dollars to any individual, partnership or other unincorporated business, association, including, without limitation, a professional association organized under Chapter 1785 of the Revised Code, estate, or trust if *any person or entity* listed herein in paragraph 1, sub-paragraphs a-i above, *has made, as an individual*, within the previous twenty-four (24) months, *one or more contributions totaling in excess of one thousand dollars* to the holder of the public office having ultimate responsibility for the award of the contract or to the public officer's campaign committee.

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2. On behalf of the individual, partnership, other unincorporated business association, professional association organized under Chapter 1785 O.R.C. or estate or trust that all of the following persons, where applicable, are in compliance with 3517.13 (I)(1) (b)<sup>2</sup>:
  - a. the individual;
  - b. each partner or owner of the partnership or other unincorporated business;
  - c. each shareholder of the association;
  - d. each administrator of the estate;
  - e. each executor of the estate;
  - f. each trustee of the trust;
  - g. each spouse of any person identified in (a) through (f) of this section;
  - h. each child seven years of age to seventeen years of age of any person identified in divisions (a) through (f) of this section;
  - i. any political action committee affiliated with the partnership or other unincorporated business, association, estate, or trust.
  
3. On behalf of a corporation or business trust, except a professional association organized under Chapter 1785 O.R.C., that all of the following persons, where applicable, are in compliance with 3517.13 (J)(1)<sup>3</sup>:
  - a. an owner of more than twenty per cent of the corporation or business trust;
  - b. each spouse of an owner of more than twenty per cent of the corporation or business trust;
  - c. each child seven years of age to seventeen years of age of an owner of more than twenty per cent of the corporation or business trust;
  - d. any combination of persons identified in (a) through (c) of this section.
  
4. On behalf of a corporation or business trust, except a professional association organized under Chapter 1785 O.R.C., that all of the following persons, where applicable, are in compliance with 3517.13 (J)(2)<sup>4</sup>:

<sup>2</sup> O.R.C. § 3517.13 (I) (1) (b) provides: no agency or department of this state or any political subdivision shall award any contract for the purchase of goods costing more than ten thousand dollars or services costing more than ten thousand dollars to any individual, partnership or other unincorporated business, association, including, without limitation, a professional association organized under Chapter 1785 of the Revised Code, estate, or trust if *any combination of the person or entity listed herein in paragraph 2, subparagraphs a-i above, has made within the previous twenty-four (24) months, one or more contributions totaling in excess of two thousand dollars* to the holder of the public office having ultimate responsibility for the award of the contract or to the public officer's campaign committee.

<sup>3</sup> O.R.C. § 3517.13 (J) (1) (a) provides: no agency or department of this state or any political subdivision shall award any contract for the purchase of goods costing more than ten thousand dollars or services costing more than ten thousand dollars to a corporation or business trust, except a professional association organized under Chapter 1785 of the Revised Code, *if any person listed herein in paragraph 3, sub-paragraphs a-d has made, as an individual, within the previous twenty-four (24) months, taking into consideration only owners for all of that period, one or more contributions totaling in excess of one thousand dollars* to the holder of the public office having ultimate responsibility for the award of the contract or to the public officer's campaign committee.

<sup>4</sup> O.R.C. § 3517.13 (J) (1) (b) provides: no agency or department of this state or any political subdivision

Lucas County Solid Waste Management District  
Request for Proposal

- a. an owner of more than twenty per cent of the corporation or business trust;
- b. each spouse of an owner of more than twenty per cent of the corporation or business trust;
- c. each child seven years of age to seventeen years of age of an owner of more than twenty per cent of the corporation or business trust;
- d. any political action committee affiliated with the corporation or business trust.

**BIDDER:**

SIGNATURE: \_\_\_\_\_

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

Sworn to before me and subscribed in my presence by the above named person this \_\_\_\_\_  
day of \_\_\_\_\_, 20 \_\_\_\_\_.

NOTARY PUBLIC: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_ shall award any contract for the purchase of goods costing more than ten thousand dollars or services costing more than ten thousand dollars to a corporation or business trust, except a professional association organized under Chapter 1785 of the Revised Code, *if any combination of the following has made*, within the previous twenty-four (24) months, taking into consideration only owners for all of that period, *one or more contributions totaling in excess of two thousand dollars* to the holder of the public office having ultimate responsibility for the award of the contract or to the public officer's campaign committee.



*Lucas County Solid Waste Management District  
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**BIDDER:**

SIGNATURE: \_\_\_\_\_

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

Sworn to before me and subscribed in my presence by the above named person  
this

\_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

NOTARY PUBLIC: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

Lucas County Solid Waste Management District  
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Form 8



Ohio Department of Public Safety  
Division of Homeland Security  
<http://www.homelandsecurity.ohio.gov>

**GOVERNMENT BUSINESS AND FUNDING CONTRACTS**  
In accordance with section 2909.33 of the Ohio Revised Code

**DECLARATION REGARDING MATERIAL ASSISTANCE/NONASSISTANCE TO A TERRORIST ORGANIZATION**

This form serves as a declaration of the provision of material assistance to a terrorist organization or organization that supports terrorism as identified by the U.S. Department of State Terrorist Exclusion List (see the Ohio Homeland Security Division website for a reference copy of the Terrorist Exclusion List).

Any answer of "yes" to any question, or the failure to answer "no" to any question on this declaration shall serve as a disclosure that material assistance to an organization identified on the U.S. Department of State Terrorist Exclusion List has been provided. Failure to disclose the provision of material assistance to such an organization or knowingly making false statements regarding material assistance to such an organization is a felony of the fifth degree.

For the purposes of this declaration, "material support or resources" means currency, payment instruments, other financial securities, funds, transfer of funds, and financial services that are in excess of one hundred dollars, as well as communications, lodging, training, safe houses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.

LAST NAME		FIRST NAME		MIDDLE INITIAL
HOME ADDRESS				
CITY	STATE	ZIP	COUNTY	
HOME PHONE		WORK PHONE		

**COMPLETE THIS SECTION ONLY IF YOU ARE A COMPANY, BUSINESS OR ORGANIZATION**

BUSINESS/ORGANIZATION NAME				
BUSINESS ADDRESS				
CITY	STATE	ZIP	COUNTY	
PHONE NUMBER				

<b>DECLARATION</b> In accordance with division (A)(2)(b) of section 2909.32 of the Ohio Revised Code	
For each question, indicate either "yes," or "no" in the space provided. Responses must be truthful to the best of your knowledge.	
1. Are you a member of an organization on the U.S. Department of State Terrorist Exclusion List?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Have you used any position of prominence you have with any country to persuade others to support an organization on the U.S. Department of State Terrorist Exclusion List?	<input type="checkbox"/> Yes <input type="checkbox"/> No

HLS 0038 2/08

*Lucas County Solid Waste Management District  
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GOVERNMENT BUSINESS AND FUNDING CONTRACTS - CONTINUED

3. Have you knowingly solicited funds or other things of value for an organization on the U.S. Department of State Terrorist Exclusion List?  
 Yes  No

4. Have you solicited any individual for membership in an organization on the U.S. Department of State Terrorist Exclusion List?  
 Yes  No

5. Have you committed an act that you know, or reasonably should have known, affords "material support or resources" to an organization on the U.S. Department of State Terrorist Exclusion List?  
 Yes  No

6. Have you hired or compensated a person you knew to be a member of an organization on the U.S. Department of State Terrorist Exclusion List, or a person you knew to be engaged in planning, assisting, or carrying out an act of terrorism?  
 Yes  No

In the event of a denial of a government contract or government funding due to a positive indication that material assistance has been provided to a terrorist organization, or an organization that supports terrorism as identified by the U.S. Department of State Terrorist Exclusion List, a review of the denial may be requested. The request must be sent to the Ohio Department of Public Safety's Division of Homeland Security. The request forms and instructions for filing can be found on the Ohio Homeland Security Division website.

**CERTIFICATION**

I hereby certify that the answers I have made to all of the questions on this declaration are true to the best of my knowledge. I understand that if this declaration is not completed in its entirety, it will not be processed and I will be automatically disqualified. I understand that I am responsible for the correctness of this declaration. I understand that failure to disclose the provision of material assistance to an organization identified on the U.S. Department of State Terrorist Exclusion List, or knowingly making false statements regarding material assistance to such an organization is a felony of the fifth degree. I understand that any answer of "yes" to any question, or the failure to answer "no" to any question on this declaration shall serve as a disclosure that material assistance to an organization identified on the U.S. Department of State Terrorist Exclusion List has been provided by myself or my organization. If I am signing this on behalf of a company, business or organization, I hereby acknowledge that I have the authority to make this certification on behalf of the company, business or organization referenced on page 1 of this declaration.

  X    
Signature

\_\_\_\_\_  
Date

**OHIO DEPARTMENT OF PUBLIC SAFETY  
Division of Homeland Security**

## **Terrorist Exclusion List**

As of July 20, 2006

### **U.S. Department of State List of Designated Foreign Terrorist Organizations**

1. Abu Nidal Organization (ANO)
2. Abu Sayyaf Group
3. Al-Aqsa Martyrs Brigade
4. Ansar al-Islam
5. Armed Islamic Group (GIA)
6. Asbat al-Ansar
7. Aum Shinrikyo
8. Basque Fatherland and Liberty (ETA)
9. Communist Party of the Philippines/New People's Army (CPP/NPA)
10. Continuity Irish Republican Army
11. Gama'a al-Islamiyya (Islamic Group)
12. HAMAS (Islamic Resistance Movement)
13. Harakat ul-Mujahidin (HUM)
14. Hizballah (Party of God)
15. Islamic Jihad Group
16. Islamic Movement of Uzbekistan (IMU)
17. Jaish-e-Mohammed (JEM) (Army of Mohammed)
18. Jemaah Islamiya organization (JI)
19. al-Jihad (Egyptian Islamic Jihad)
20. Kahane Chai (Kach)
21. Kongra-Gel (KGK, formerly Kurdistan Workers' Party, PKK, KADEK)
22. Lashkar-e Tayyiba (LT) (Army of the Righteous)
23. Lashkar i Jhangvi
24. Liberation Tigers of Tamil Eelam (LTTE)
25. Libyan Islamic Fighting Group (LIFG)
26. Moroccan Islamic Combatant Group (GICM)
27. Mujahedin-e Khalq Organization (MEK)
28. National Liberation Army (ELN)
29. Palestine Liberation Front (PLF)
30. Palestinian Islamic Jihad (PIJ)
31. Popular Front for the Liberation of Palestine (PFLP)
32. PFLP-General Command (PFLP-GC)
33. al-Qa'ida
34. Real IRA
35. Revolutionary Armed Forces of Colombia (FARC)
36. Revolutionary Nuclei (formerly ELA)
37. Revolutionary Organization 17 November
38. Revolutionary People's Liberation Party/Front (DHKP/C)
39. Salafist Group for Call and Combat (GSPC)
40. Shining Path (Sendero Luminoso, SL)
41. Tanzim Qa'idat al-Jihad fi Bilad al-Rafidayn (QJBR) (al-Qaida in Iraq) (formerly Jama'at al-Tawhid wa'al-Jihad, JTJ, al-Zarqawi Network)
42. United Self-Defense Forces of Colombia (AUC)

*Lucas County Solid Waste Management District  
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**OHIO DEPARTMENT OF PUBLIC SAFETY  
Division of Homeland Security**

**U.S. Department of State Terrorist Exclusion List**

1. Afghan Support Committee (a.k.a. Ahya ul Turas; a.k.a. Jamiat Ayat-ur-Rhas al Islamia; a.k.a. Jamiat Ihya ul Turath al Islamia; a.k.a. Lajnat el Masa Eidatul Afghania)
2. Al Taqwa Trade, Property and Industry Company Ltd. (f.k.a. Al Taqwa Trade, Property and Industry; f.k.a. Al Taqwa Trade, Property and Industry Establishment; f.k.a. Himmat Establishment; a.k.a. Waldenberg, AG)
3. Al-Hamati Sweets Bakeries
4. Al-Ittihad al-Islami (AIAI)
5. Al-Manar
6. Al-Ma'unah
7. Al-Nur Honey Center
8. Al-Rashid Trust
9. Al-Shifa Honey Press for Industry and Commerce
10. Al-Wafa al-Igatha al-Islamia (a.k.a. Wafa Humanitarian Organization; a.k.a. Al Wafa; a.k.a. Al Wafa Organization)
11. Alex Boncayao Brigade (ABB)
12. Anarchist Faction for Overthrow
13. Army for the Liberation of Rwanda (ALIR) (a.k.a. Interahamwe, Former Armed Forces (EX-FAR))
14. Asbat al-Ansar
15. Babbar Khalsa International
16. Bank Al Taqwa Ltd. (a.k.a. Al Taqwa Bank; a.k.a. Bank Al Taqwa)
17. Black Star
18. Communist Party of Nepal (Maoist) (a.k.a. CPN(M); a.k.a. the United Revolutionary People's Council, a.k.a. the People's Liberation Army of Nepal)
19. Continuity Irish Republican Army (CIRA) (a.k.a. Continuity Army Council)
20. Darkazanli Company
21. Dhamat Houmet Daawa Salafia (a.k.a. Group Protectors of Salafist Preaching; a.k.a. Houmat Ed Daawa Es Salafiya; a.k.a. Katibat El Ahoual; a.k.a. Protectors of the Salafist Predication; a.k.a. El-Ahoual Battalion; a.k.a. Katibat El Ahouel; a.k.a. Houmate Ed-Daawa Es-Salafia; a.k.a. the Horror Squadron; a.k.a. Djamaat Houmat Eddawa Essalafia; a.k.a. Djamaatt Houmat Ed Daawa Es Salafiya; a.k.a. Salafist Call Protectors; a.k.a. Djamaat Houmat Ed Daawa Es Salafiya; a.k.a. Houmate el Da'awaa es-Salafiyya; a.k.a. Protectors of the Salafist Call; a.k.a. Houmat ed-Daaoua es-Salafia; a.k.a. Group of Supporters of the Salafiste Trend; a.k.a. Group of Supporters of the Salafist Trend)
22. Eastern Turkistan Islamic Movement (a.k.a. Eastern Turkistan Islamic Party; a.k.a. ETIM; a.k.a. ETIP)
23. First of October Antifascist Resistance Group (GRAPO) (a.k.a. Grupo de Resistencia Anti-Fascista Premero De Octubre)
24. Harakat ul Jihad i Islami (HUJI)
25. International Sikh Youth Federation
26. Islamic Army of Aden
27. Islamic Renewal and Reform Organization
28. Jamiat al-Ta'awun al-Islamiyya
29. Jamiat ul-Mujahideen (JUM)
30. Japanese Red Army (JRA)
31. Jaysh-e-Mohammed
32. Jayshullah
33. Jerusalem Warriors
34. Lashkar-e-Tayyiba (LET) (a.k.a. Army of the Righteous)
35. Libyan Islamic Fighting Group
36. Loyalist Volunteer Force (LVF)
37. Makhtab al-Khidmat
38. Moroccan Islamic Combatant Group (a.k.a. GICM; a.k.a. Groupe Islamique Combattant Marocain)

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39. Nada Management Organization (f.k.a. Al Taqwa Management Organization SA)
40. New People's Army (NPA)
41. Orange Volunteers (OV)
42. People Against Gangsterism and Drugs (PAGAD)
43. Red Brigades-Combatant Communist Party (BR-PCC)
44. Red Hand Defenders (RHD)
45. Revival of Islamic Heritage Society (Pakistan and Afghanistan offices -- Kuwait office not designated) (a.k.a. Jamia Ihya ul Turath; a.k.a. Jamiat Ihia Al- Turath Al-Islamiya; a.k.a. Revival of Islamic Society Heritage on the African Continent)
46. Revolutionary Proletarian Nucleus
47. Revolutionary United Front (RUF)
48. Salafist Group for Call and Combat (GSPC)
49. The Allied Democratic Forces (ADF)
50. The Islamic International Brigade (a.k.a. International Battalion, a.k.a. Islamic Peacekeeping International Brigade, a.k.a. Peacekeeping Battalion, a.k.a. The International Brigade, a.k.a. The Islamic Peacekeeping Army, a.k.a. The Islamic Peacekeeping Brigade)
51. The Lord's Resistance Army (LRA)
52. The Pentagon Gang
53. The Riyadus-Salikhin Reconnaissance and Sabotage Battalion of Chechen Martyrs (a.k.a. Riyadus-Salikhin Reconnaissance and Sabotage Battalion, a.k.a. Riyadh-as-Saliheen, a.k.a. the Sabotage and Military Surveillance Group of the Riyadh al-Salihin Martyrs, a.k.a. Riyadus Salikhin Reconnaissance and Sabotage Battalion of Shahids (Martyrs))
54. The Special Purpose Islamic Regiment (a.k.a. the Islamic Special Purpose Regiment, a.k.a. the al-Jihad-Fisi-Sabililah Special Islamic Regiment, a.k.a. Islamic Regiment of Special Meaning)
55. Tunisian Combat Group (a.k.a. GCT, a.k.a. Groupe Combattant Tunisien, a.k.a. Jama'a Combattante Tunisien, a.k.a. JCT; a.k.a. Tunisian Combatant Group)
56. Turkish Hizballah
57. Ulster Defense Association (a.k.a. Ulster Freedom Fighters)
58. Ummah Tameer E-Nau (UTN) (a.k.a. Foundation for Construction; a.k.a. Nation Building; a.k.a. Reconstruction Foundation; a.k.a. Reconstruction of the Islamic Community; a.k.a. Reconstruction of the Muslim Ummah; a.k.a. Ummah Tameer I-Nau; a.k.a. Ummah Tameer E-Nau; a.k.a. Ummah Tameer-I-Pau)
59. Youssef M. Nada & Co. Gesellschaft M.B.H.

**U.S. Treasury Department's Designated Charities and Potential Fundraising  
Front Organizations for FTOs**

1. Makhtab al-Khidamat / Al Kifah (formerly U.S.-based, Pakistan)
2. Al Rashid Trust (Pakistan)
3. Wafa Humanitarian Organization (Pakistan, Saudi Arabia, Kuwait, United Arab Emirates)
4. Rabita Trust (Pakistan)
5. Ummah Tameer E-Nau (Pakistan)
6. Revival of Islamic Heritage Society - Pakistan and Afghanistan Branches (Kuwait, Afghanistan, Pakistan)
7. Afghan Support Committee (Afghanistan, Pakistan)
8. Al Haramain Foundation (Indonesia, Kenya, Pakistan, Tanzania, Bosnia, Somalia, Bangladesh, Afghanistan, Albania, Ethiopia, Netherlands, Comoros Islands, and United States branches)
9. Aid Organization of the Ulema (Pakistan)
10. Global Relief Foundation (United States)

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11. Benevolence International Foundation (United States):
12. Benevolence International Fund (Canada)
13. Bosanska Idealna Futura (Bosnia)
14. Stichting Benevolence International Nederland (Netherlands)
15. Lajnat al Daawa al Islamiyya (Kuwait, Pakistan, Afghanistan)
16. Al Akhtar Trust (Pakistan)
17. Taibah International (Bosnia)
18. Al Haramain & Al Masjed Al Aqsa Charity Foundation (Bosnia)
19. Al Furqan (Bosnia)
20. Islamic African Relief Agency (IARA) / Islamic Relief Agency (ISRA) (Sudan, United States and 40 other branches throughout the world)
21. The Holy Land Foundation for Relief and Development (United States)
22. Al Aqsa Foundation (United States, Europe, Pakistan, Yemen, South Africa)
23. Comité de Bienfaisance et de Secours aux Palestiniens (France)
24. Association de Secours Palestinien (Switzerland)
25. Interpal (Palestinian Relief & Development Fund) (United Kingdom)
26. Palestinian Association in Austria (Austria)
27. Sanibil Association for Relief and Development (Lebanon)
28. Elehssan Society (Palestinian territories)
29. Aleph (Aum Shinrikyo/Aum Supreme Truth)
30. Rabbi Meir David Kahane Memorial Fund (Kahane Chai and Kach)  
American Friends of the United Yeshiva (Kahane Chai and Kach)  
American Friends of Yeshivat Rav Meir (Kahane Chai and Kach)  
Friends of the Jewish Idea Yeshiva (Kahane Chai and Kach)
31. Irish Republican Prisoners Welfare Association (Real IRA)
32. Socorro Popular Del Peru/People's Aid of Peru (Sendero Luminoso/Shining Path)

**Form 9 Vendor's Pricing Proposal Forms  
For  
THE LUCAS COUNTY SOLID WASTE MANAGEMENT DISTRICT  
AND THE CITY OF TOLEDO**

For recycling processing services as described in this RFP:

- The District and City are seeking a revenue sharing arrangement that benefits the District and City as well as the Processor
- The District and City require that the revenue sharing be for all delivered District and City recyclables
- All tip fees and revenue sharing must be for delivery to either the Matzinger Road Facility or other MRF (Material Recovery Facility) or RTF (Recyclables Transfer Facility) tipping floor.
- All costs must be included in the price proposal and must be offered as firm pricing and pricing/revenue share formulas suitable for conversion to contractual obligations of both parties. Other costs (e.g. management fees, estimates of costs, unspecified indirect costs, ranges of costs, etc.) are discouraged and may be grounds for disqualification of a proposal.

The Price Proposal Forms consist of Four Sets of Alternate Pricing all included in the Price Form. Vendors must submit pricing for each Option or risk disqualification:

- Option 1: Price Proposal for District Fiber Tons Only
- Option 2: Price Proposal for District Bottle and Can Tons Only
- Option 3: Price Proposal for City Single Stream Tons Only
- Option 4: Price Proposal for both District and City Tons

The District and City prefer Option 4 but are prepared to contract separately under Options 1 through 3 if needed in order to provide the most favorable economics for their recycling programs.

The Price Proposal for each option consists of three parts:

- A: An optional tip fee that would be charged per ton prior to the application of any revenue sharing formula or other market value based pricing.
- B: The method of establishing the value of the recyclables for revenue sharing.
- C: The Revenue Sharing Formula including the "trigger price" (the first xxx dollars that the vendor would keep prior to revenue share) and the revenue share percentage for the market value above the trigger price.

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**PART A) PROCESSING TIP FEE AND ANNUAL ESCALATION RATE FOR EACH OPTION-  
-ASSUMED TO BE \$0.00 IF NOT FILLED OUT**

<b>PRICING PART A: PROCESSING TIP FEE CHARGED FOR EACH INCOMING TON. - ASSUMED TO BE \$0.00 IF NOT FILLED OUT</b>					
For Processing Only	PROCESSING TIP FEE FOR EACH OPTION				ANNUAL ESCALATION
	OPTION 1 DISTRICT FIBER TONS	OPTION 2 DISTRICT B&C TONS	OPTION 3 CITY SNGL STREAM TONS	OPTION 4 ALL CITY AND DISTRICT TONS	PER YEAR
<b>A: Tip Fee/Ton (if applicable)</b>	\$ _____ Ton	\$ _____ Ton	\$ _____ Ton	\$ _____ Ton	_____ % PER YEAR

**PART B) PROCESSING REVENUE SHARING FOR EACH TYPE OF MATERIAL:  
IDENTIFY A METHOD FOR DETERMINING VALUE OF RECYCLABLES – REQUIRED**

<b>PRICING PART B: IDENTIFY A METHOD FOR DETERMINING VALUE OF RECYCLABLES – CHOOSE ONE OF THOSE PROVIDED BY CHECKING BOX</b>	
____ Approach B.1 Average Commodity Revenue (ACR)	Revenue Sharing for all District and City Recyclables will be based on the commodity revenues received by the MRF for all recyclables delivered by the City, weighted by the percentage of each particular commodity in the average City ton of recyclables including a weighting for ____% residue (Offeror must fill in) that must be landfilled.
____ Approach B.2 Market Index for #8 ONP	Revenue Sharing for all District and City Recyclables will be based on the #8 ONP High Side First Edition of the Month Pricing of the Official Board Markets plus \$_____ (Offeror must fill in)
____ Approach B.3 Fixed Market Value	Revenue Sharing for all District and City Recyclables will be based on a fixed market value for all District and City Recyclables of \$_____ (Offeror must fill in) for the life of the Contract – with no deductions for residue.
____ Approach B.4 Other Method?	Please Specify and Show Example on Next Sheet:

**PART C) PROCESSING REVENUE SHARE FORMULA -  
REVENUE SHARING TO THE DISTRICT AND CITY BASED ON TWO PARTS:**

**C-1: Contractor keeps first \$XXX per ton of market revenue (based on chosen method from part B above) before revenue sharing percentage for District and City is applied – often referred to as the trigger price (the market revenue price per ton that “triggers” revenue sharing to District and City)**

**C-2: Revenue share percentage to the District and City above the trigger price from C-1.**

<b>PRICING PART C: PROCESSING REVENUE SHARE FORMULA FOR EACH TIER</b>				
For Processing Only	PROCESSING REVENUE SHARE FOR EACH OPTION			
	OPTION 1 DISTRICT FIBER TONS	OPTION 2 DISTRICT B&C TONS	OPTION 3 CITY SNGL STREAM TONS	OPTION 4 ALL CITY AND DISTRICT TONS
<b>C-1: Contractor Keeps First \$_____/Ton (Trigger Price)</b>	\$ _____ Ton	\$ _____ Ton	\$ _____ Ton	\$ _____ Ton
<b>C-2: Revenue Share % to District or City After C-1</b>	_____%	_____%	_____%	_____%

**Comments and Revenue Share Example** – Provide, in the space below, an example of the Revenue Share formula applied to 12,000 tons District tons and 25,000 City tons delivered in Calendar Year 2010.

EXAMPLE OF REVENUE SHARE FOR DISTRICT TONS:

EXAMPLE OF REVENUE SHARE FOR CITY TONS:

ADDITIONAL COMMENTS: