



Wade Kapszukiewicz  
Lucas County Treasurer

To be completed by business and lending institution

## Small Business Linked Deposit Program

### Application for Participation

**(A) Small Business Information:**

Check here if this is a renewal application \_\_\_\_\_

\_\_\_\_\_  
Name of Small Business

\_\_\_\_\_  
President/Owner/CEO

\_\_\_\_\_  
Street Address of Small Business

City	State	Zip Code	County
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Area Code & Phone Number	Fax Number	Email Address
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**(B) Business Data**

- 1. Is the company headquartered in the State of Ohio with a project located in Lucas County?      Yes ( ) No ( )
- 2. Does the company employ less than 150 people?      Yes ( ) No ( )
- 3. Are the majority of employees Ohio residents?      Yes ( ) No ( )
- 4. Is the company organized for profit?      Yes ( ) No ( )

*If you have answered no to any of the questions above you are not eligible for participation in the Linked Deposit Program pursuant to program policy and/or Chapter 135 of the Ohio Revised Code.*

- 6. Is the borrowing entity the exact same entity saving or creating jobs?      Yes ( ) No ( )  
If no, please explain:

**(C) Linked Deposit Activity:**

- 8. Has the company been approved for participation in the Linked Deposit Program 4 out of the last 6 years?      Yes ( ) No ( )
- 9. Is this request for participation in the Linked Deposit Program in addition to a current Linked Deposit commitment? Answer no if request will be funded upon the expiration of the existing link.      Yes ( ) No ( )

*If you have answered yes to question 8 or 9 you are not eligible for another Linked Deposit approval at this time.*

(D) How long has the company been in business?

If new, when is the expected opening date?

(E) Describe the product (s) and/or service (s) the company offers.

(F) Project Costs:

Total amount of loan dollars related to this project: \$ \_\_\_\_\_

Total amount of Linked Deposit request: \$ \_\_\_\_\_

*Note: Requests that represent 100% of the project and meet the job ratio criteria as well as all other program requirements will receive a priority.*

(G) Describe the proposed use of loan proceeds-detail amounts and uses of the money. *Note: Request for fixed assets and working capital will receive priority.*

(H) How many employees are currently on the payroll? \_\_\_\_\_

(I) How many jobs will participation in the Linked Deposit program affect in the next two years?

*Full time jobs saved _____	Full time jobs created _____
*Part time jobs saved _____	Part time jobs created _____

*\* Saved means only those employees who face a lay-off in the absence of a linked deposit.*

(J) Define and quantify the employment positions that will be saved and/or created as listed in Section (I) above: *(attach addendum if necessary)*

(K) Explain how the benefits of the linked deposit program will materially contribute to the company's ability to meet the employment goals stated in Section (J) above: *(attach addendum if necessary)*

(L) Is the small business currently participating in any Federal, State or Local Government programs? *If yes, describe.*

Yes ( ) No ( )

(M) If applicable, describe any civic or community benefits this project may provide: *(attach addendum if necessary)*

**(N) Certification and Statement of Small Business:**

Under penalty of law, the Small Business named in this application has accurately and truthfully answered the questions as listed and has made application to the financial institution for the sole purpose of saving and/or creating jobs under the Linked Deposit provisions of the Ohio Revised Code. The business certifies that the funds borrowed from the eligible lending institution will not be used for purposes of gain under an investment arbitrage situation. Furthermore, it is fully understood that any use of the funds, other than that intended pursuant to the Ohio Revised Code sections 135.61 to 135.67, constitutes an act of fraud. It is also understood that, since the Treasurer of Lucas County is not a lender of funds, the decisions involved in granting loans to the Small Business rest solely upon the financial institution. The Small Business agrees to comply with any and all monitoring efforts that may be required by the Treasurer of Lucas County. Failure to do so in a complete and timely manner may affect continued participation in the program.

\_\_\_\_\_  
Small Business Name

\_\_\_\_\_  
Signature of President/Owner/CEO

\_\_\_\_\_  
Date

**(O) Certification of Financial Institution:**

Under penalty of law, the financial institution making application under the Linked Deposit provisions of the Ohio Revised Code certifies that, according to information revealed in the loan application, the applicant is an eligible Small Business and that the benefits of the Linked Deposit materially contribute to the preservation and/or creation of jobs. This statement by the financial institution is based upon its best judgment and only upon factors known to it and relevant in financial statements submitted to it by the Small Business in question. It is also understood that, since the Treasurer of Lucas County is not a lender of funds, the decisions involved in granting loans to the Small Business rests solely upon the financial institution. The lender certifies that the rate listed on Form 2 is the normal borrowing rate of this eligible Small Business, and is the true borrowing rate that would be charged in the absence of the Linked Deposit program. No fees will be charged to the applicant to process this application. Approved applicants will receive a non-changing rate reduced by 300 basis points on the term loan described in this application for the period of deposit of the Treasurer of Lucas County. The lender agrees to comply with any and all monitoring efforts that may be required by the Treasurer of Lucas County. Failure to do so in a complete and timely manner may affect continued participation in the program.

\_\_\_\_\_  
Name of Financial Institution

\_\_\_\_\_  
Signature of Lender

\_\_\_\_\_  
Date

Information provided to the Treasurer of Lucas County is a matter of public record.  
Applications must be submitted by an eligible institution.